Apr-26-2004 04:24pm From-

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T-038 P.001 F-056

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SENT TO:	Examiner Nichols	CENTRAL FAX CENTER
		APR 2 6 2004
DATE SENT:	April 26, 2004	· · · · · · · · · · · · · · · · · · ·
SUBJECT:	Appln No. 09/441,140 SOLOMON=14	OFFICIAL
No. of page	s (including this cover sheet): 86	
FROM:	Roger L. Browdy	:

Remarks:

CONFIDENTIALITY NOTE

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If this transmission is not well received, please advise us at our telecopier no. 202-737-3528 or by e-mail at mail@browdyneimark.com, or call our voice telephone no. 202-628-5197

PAGE 1/22* RCVD AT 4/26/2004 5:14:09 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-1/3* DNIS:8729306 * CSID: * DURATION (mm-ss):05-54

`~~	<u>-</u> '	- A	
	IN THE UNITED STATES PATENT AND TRADEM	ARK OFFICE	
In Re Application of: Beka SOLOMON		Art Unit: 1642	
Application No.: 09/441,140	Conf. No. 3910	Examinar: S. Ungar	
Filed: November 16, 1999		Washington, D.C.	
For: PREVENTION OF PROTEIN AGGREGATION		Atty.'s Docket: SOLOMON=14	
		Date: February 23, 2004	
THE COMMISSIONER OF PATENTS 2011 South Clark Place, Mail Stop			

Crystal Piaza Two, Lobby, Room 1803 Arlington, VA 22202

Sir:

Transmitted herewith is a [X] Amendment [X 1st Declaration by Baka Solomon with 7 attached anticles; 2rd Declaration by Baka Solomon; 6 additional articles; and Original Letters Patent of USP 5.688.651

in the above-identified application.

[] Small Entity Status: Applicant(s) claim small entity status. See 37 C.F.R. §1.27.

[] No additional fee Is required.

[XX] The fee has been calculated as shown below:

	(Col. 1)		(Col. 2)	(Cal. 3)		SMALL	ENTITY		OTHER THAN	SMALL ENTITY
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA EQUALS		RATE	ADDITIONAL FEE	OR	RATE	additional Fee
TOTAL	- 29	MINUS	** 99	Q		x 9	S		x 18	\$
INDEP.	+ 5	MINUS	*** 26	0		x 43	\$		X 86	\$
	ESENTATION OF		DEP. CLAIM		וו	+ 145	\$		+ 290	\$ 290
	COLLAR ANOIA OF			1		NAL FEE TOTAL	\$	OR	TOTAL	\$ 290

If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

** If the "Highest Number Previously Paid for" IN THIS SPACE is less than 20, write "20" in this space.

If the "Highest Number Previously Paid for" IN THIS SPACE Is less than 3, write "3" in this space.

The "Highest Number Previously Paid For" (total or independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment of the number of claims originally filed.

[XX] Conditional Petition for Extension of Time

(202) 737-3528 (202) 628-5197

Facsimile:

Telephone:

If any extension of time for a response is required, applicant requests that this be considered a petition therefor.

[] It is hereby petitioned for an extension of time in accordance with 37 CFR 1.138(a). The appropriate fee required by 37 CFR 1.17 is calculated as shown below:

Small Entity	Other Then Small Entity					
Response Filed Within	Response Filed Within					
[] First - \$ 55.00	[] First - \$ 110.00					
[] Second - \$ 210.00	[] Second - \$ 420.00					
[] Third - \$ 475.00	[] Third - \$ 950.00					
[] Fourth - \$ 740.00	[] Fount - \$ 1480.00					
Month After Time Period Set	Month After Time Period Set					

[] Less fees (\$_____) already paid for ___ month(s) extension of time on _____

- [] Please charge my Deposit Account No. 02-4035 in the amount of \$_____
- [XX] Credit Card Payment Form, PTO-2038, is attached, authorizing payment in the amount of \$ 290.00.
- [] A check in the amount of \$_____ Is attached (check no.).
- [XX] The Commissioner is hereby authorized and requested to charge any additional fees which may be required in connection with this application or credit any overpayment to Daposit Account No. 02-4036. This authorization and request a not limited to payment of all fees associated with this communication, including any Extension of Time fee, not covered by check or specific authorization, but is also intended to include all fees of the presentation of extra claims under 37 CFR §1.16 and all patent processing fees under 37 CFR §1.17 throughout the prosecution of the case. This blanket authorization does not include patent issue fees under 37 CFR §1.18.

BROWDY AND NEIMARK, P.L.L.C.

Attomeys for Applicant(s)

Dav Roger L. Browdy Registration No. 25,618 Rea, Ala,

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Apr-26-2004	04:24pm	From
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T-038 P.003 F-056

RECEIVED CENTRAL FAX CENTER IN THE UNITED STATES PATENT AND TRADEMARK OFFICE APR 26 2004 SOLOMON=1R Atty. Docket: Conf. No.: 3910 In re Application of: 1647 Art Unit:) OFFICIAL Beka SOLOMON C. Nichols Examiner: Appln. No.: 09/441,140) Washington, D.C. Filed: November 16, 1999 For: PREVENTION OF PROTEIN February 23, 2004 AGGREGATION

AMENDMENT

U.S. Patent and Trademark Office 2011 South Clark Place Customer Window, Mail Stop Amendment Non-Fee Crystal Plaza Two, Lobby, Room 1B03 Arlington, Virginia 22202

Sir:

In response to the Official action of August 22, 2003, please amend as follows:

IN THE SPECIFICATION

Please amend the paragraph beginning at column 7, line 1, as follows:

In the present invention, the expression vector for use as a therapeutic agent comprises a nucleic acid including at least one sequence which encodes in expressible form an anti-aggregation molecule, which molecule binds to an aggregating protein that is the cause of a disease and which prevents aggregation but does not interfere with bioactivity. In a preferred embodiment the expression vector includes the sequence for a human monoclonal antibody that is an anti- β -

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